

Daniel T. Cook & Partners

A Registered Investment Adviser

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Palm Beach Gardens, FL 33410
407-772-1135

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This Brochure provides information about the qualifications and business practices of Daniel T. Cook & Partners. If you have any questions about the content of this Brochure, please contact us at 407-772-1135 or by email at: danieltcook@aol.com.

Daniel T. Cook & Partners, LLC. is a Registered Investment Adviser. Registration of an Investment Adviser does not imply any level of skill or training. The oral and written communications of an Adviser provide you with information about which you determine to hire or retain an Adviser. Additional information about Daniel T. Cook & Partners, LLC. is also available at the SEC's website at www.advisorinfo.sec.gov (or state site?)

Material Changes

Annual Update

The Material Changes section of this brochure will be updated annually when material changes occur since the previous release of the Firm Brochure

Material Changes since the Last Update

In July 2010 the United States Securities and Exchange Commission issued a final rule which amends the disclosure document that we provide to clients as required by SEC Rules. This Brochure is a new document prepared in narrative “plain English” format according to the SEC’s new requirements and rules. The new final rule specifies mandatory sections and organization of information.

In the future this item will discuss only specific material changes that are made to the Brochure and provide clients with a summary of such changes. We will also reference the date of our last annual update of our Brochure.

Full Brochure Available

Currently, our Brochure may be requested by contacting Daniel Cook, Chief Compliance Officer at 407-772-1135 or by email at: danielcook@aol.com. Brochures will be provided via email, fax, or regular postal mail at no charge.

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Item 4 – Advisory Business

Firm Description

Daniel T. Cook & Partners, LLC. was founded in September 2010. The firm is a fee-based investment advisor focused on providing the following:

Personalized discretionary and non-discretionary investment management for individuals, employer-sponsored retirement plans, trusts, estates, and business entities.

Planning and consulting services. These services can be comprehensive in nature, meaning they cover all aspects of the client's financial / business situation, or focused on specific areas such as retirement, security selection and management, or business strategy.

Daniel T. Cook & Partners is compensated for providing these services based on a number of billable fee structures described in detail under Item 5 below.

Daniel T. Cook & Partners does not act as custodian nor maintain control of client assets. We do have the ability, with the client's written approval, to place trades for clients and collect management fees directly from their accounts.

The initial meeting with a prospective client is typically free of charge and is considered an exploratory interview to determine the extent to which investment management or financial / business consulting services may be beneficial to the client.

Principal Owners

Daniel Cook, is 100% stockholder and owner of Daniel T. Cook & Partners, LLC.

Types of Advisory Services

Investment Management

Investment management is provided through consultation with the client. The investment advisor representative will obtain personal and confidential information in order to determine the client's financial objectives, goals, and tolerance for risk. An initial investment portfolio is then constructed and monitored on an ongoing basis.

Ongoing management of the client's portfolio will take into account many factors including, but not limited to:

- Changes in goals, objectives, or risk tolerance
- The client's need for cash flow from their investments
- Tax Planning

Investment Portfolios are typically comprised of one or more of the following:

- Individual stocks and bonds
- Exchange-traded funds (ETF's)
- Money market funds
- Mutual funds

Securities may also include, but are not limited to: equities (stocks), foreign securities, option contracts, corporate debt securities, warrants, mutual funds and U.S. Government securities. At this time the firm does not have access to life insurance or annuities.

Investment management services are provided on either a discretionary or non-discretionary basis, as authorized by the client. We are available to meet with clients on a regular basis, or as determined by the client, to review the account. Portfolio construction and management may only begin after the adviser(s) careful discussion with the client; we must first have a full understanding of their unique goals and objectives.

Planning and Consulting Services

Daniel T. Cook & Partners provides financial / business planning and consulting services for clients who need guidance in areas such as retirement, security selection and management, or business strategy. These services can be offered on a comprehensive basis, covering multiple areas, or targeted to one specific need. Comprehensive plans may be generated using various software packages acquired by respected vendors in the planning industry; but are not limited to such plans. Plans and Strategies are based on input from clients regarding their assets, liabilities, income, expenses, goals and objectives, as well on mutually agreed upon assumptions including earnings growth, rate of inflation, retirement age and market environment.

Tailored Relationships

The goals and objectives for each client are documented in a number of ways including in hard copy form in client files, through direct discussion with clients, and in written service agreements that are signed by both Daniel T. Cook & Partners and the client.

Agreements may not be assigned without the client's written consent.

Types of Agreements

The following agreements define the typical client relationships:

Investment Management Agreement

Daniel T. Cook & Partners develops concentrated and / or diversified portfolios that are tailored to each client's unique situation, taking into consideration their goals and risk tolerance. As the client's financial situation changes over time changes are implemented

The scope of work and fee for an Investment Management Agreement is provided to the client prior to the start of the relationship.

The annual Investment Management Fee is based on a percentage of the investable assets up to a maximum of 3% of assets under management. The representative you are working with will be able to provide you the fee schedule they are using, and the exact fee will be specified in your agreement. The Firm reserves the right to employ a minimum and / or annual, which would be discussed with the client and fully disclosed in writing.

If assets are deposited into or withdrawn from an account after the inception of a quarter, the fee payable with respect to such assets will not be adjusted or prorated based on the number of days remaining in the quarter.

Current client relationships may exist where fees are higher or lower than those listed above.

Planning Services Agreement

Comprehensive planning services are designed to assist clients with all aspects of financial planning. Detailed investment advice and specific recommendations are provided as a component of the financial plan. Implementation of investment recommendations is at the sole discretion of the client.

The financial plan may include, but is not limited to:

- A review of investment accounts, including asset allocation and selection
- A review of retirement accounts and plans, including recommendations
- Retirement scenarios and alternative / complimenting strategies
- Tax Planning and estate review and recommendations

The fee for a financial plan is based on the complexity of the situation and the estimated time to perform the requested services. The minimum fee is \$250.00 and can be higher depending on the level of service required. Fees may be negotiable at the representative's discretion.

Retainer Agreement

In some circumstances, a Retainer Agreement is executed in lieu of an Investment Management Agreement when it is more appropriate to work on a fixed-fee basis. The annual / project-

oriented fee for a retainer is negotiable depending on the amount of work required. A Retainer Agreement will typically cover one of the following, but is not limited to:

- Security Selection
- Investment Management Guidance
- Consulting / Strategic Services
- Planning Services

Project Oriented Consulting Agreements

Daniel T. Cook & Partners provides hourly and project-oriented consulting services for clients who need advice on a limited scope of work. The hourly rate for consulting services range from \$25 to \$100 per hour. Project-Oriented work is negotiated between the advisor and client on a case-by-case basis; both parties must sign the written agreement in advance.

Clients should note that similar advisory services may (or may not) be available from other investment advisors for similar or lower fees.

Termination Agreement

A client agreement may be cancelled at any time, by either party, for any reason upon receipt of 30 days written notice. Upon termination any prepaid, unearned fees will be promptly refunded. In calculating a client's reimbursement of fees, we will pro rate the reimbursement according to the number of days remaining in the billing period.

Item 5 – Fees and Compensation

Description

Daniel T. Cook & Partners bases its fees on the following methods, depending on the nature of the service being provided:

- A percentage of assets under management
- Fixed one-time project fees
- Hourly consulting charges
- A flat retainer fee covering security selection and consulting services
- Subscription
- Finder's fee

All fees are subject to negotiation.

Basic Fee Schedule

<u>Investment Size:</u>	<u>Annual Compensation:</u>
Less than \$10,000	\$400
Less than \$25,000	\$750
\$25,001 to \$100,000	3 %
\$100,001 to \$250,000	2 ½ %
Greater than \$250,001	2 %

Fee Billing

Investment management services are billed quarterly, in ADVANCE, meaning that we invoice you BEFORE the three-month billing period has ended. Accounts initiated or terminated during a calendar quarter will be charged a prorated fee. Fees are usually deducted from a designated client account(s) to facilitate billing; alternatively clients may elect to pay directly for fees. Payment in full is expected upon presentation of an invoice. The client must consent in advance to direct debiting of their investment account.

Fixed fees and hourly consulting charges are typically at completion of services rendered. Certain situations may require payment of some portion of the fee in advance and only upon mutual agreement between client and their advisor representative.

Other Fees

Custodians may charge transaction fees on purchases or sales of individual stocks, exchange-traded funds, equity option contracts, and certain mutual funds. These transaction charges are usually small and incidental to the purchase or sale of a security. The selection of the security is more important than the nominal fee charged by the custodian.

In addition, custodians may charge fees for services considered non-investment related such as wire fees, overnight mail, checks and debit cards, account maintenance fees, IRA custodial fees, etc.

Daniel T. Cook & Partner's fees are separate and distinct from transaction and custodial fees charged.

Daniel T. Cook & Partners does not directly share in or receive any of the aforementioned custodial or transaction fees.

Mutual Fund Fees and Expense Ratios

Mutual funds and exchange-traded funds generally charge a management fee for their services as investment managers. This fee is called an expense ratio. For example, an expense ratio of 0.50 means that the fund company charges 0.5% for their services. Additional fund expenses and distribution fees may also be imposed. These fees and expenses are described in each fund's prospectus. These fees are in addition to the fee paid by you to Daniel T. Cook & Partners.

Performance figures quoted by mutual fund and exchange-traded fund companies in various publications are calculated after their fees have been deducted.

Past Due Accounts and Termination of Agreement

Daniel T. Cook & Partners reserves the right to cease work on any account that is more than 90 days overdue. In addition, we reserve the right to terminate in financial planning, project, or consulting oriented where the client has willfully concealed or has refused to provide pertinent information about their financial situation or project, where such information, in our judgment is necessary in providing competent advice. Any unused portion of fees collected in advance will be returned within 30 days.

Item 6 – Performance-Based Fees and Side-by-Side Management

Sharing of Capital Gains

Daniel T. Cook & Partners does not charge any performance-based fees (fees based on a share of capital gains on or capital appreciation of the assets of a client). These types of arrangements create a potential conflict of interest because the adviser may recommend investments that carry a higher degree of risk.

Item 7 – Types of Clients

Description

Daniel T. Cook & Partners generally provides investment advisory services to individuals, employer-sponsored retirement plans, charitable organizations, trusts, estates, and business entities such as corporations.

Client relationships will vary based on a number of factors including, but not limited to, types of services offered, complexity of client's financial situation, and length of agreement.

Account Minimums

The minimum investment management account size is \$10,000. Daniel T. Cook & Partners has the sole discretion to waive the account minimum.

Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis

Security analysis methods may include charting supply & demand, fundamental analysis, technical analysis, overall market environment assessment, economic cycles, micro and mega trends, and intra-industry company comparisons.

The main sources of information utilized includes:

- Company press releases
- Filings with regulatory agencies such as the Securities and Exchange Commission
- Conversation(s) with industry experts
- The World Wide Web
- Listening to Conference Calls
- Monitoring Insider Trading and other Strategic Institutional Activity
- Research Reports and 10K filings
- Financial news and magazines

Investment Strategies

The investment strategy used for a specific client is based on the goals and objectives agreed to by the client and based on items including personal fact finding, an assessment of risk tolerance, income, net worth, age and other relevant factors. Such information is documented and collected on various forms used by the Firm and its representatives. The client may change these objectives at any time.

Underlying themes in portfolio management and security selection may include, but is not limited to the following strategies:

- Concentrated equity portfolios (typically between 7 – 12 holdings)
- Focus on companies with market capitalizations of \$5 billion and less
- Equity option strategies for the stock investor

Risk of Loss

All investment programs have certain risks that are borne by the investor. Our investment approach constantly keeps the risk of loss in mind. Investors face the following investment risks:

-Market Risk: the price of a security, bond, or mutual fund may drop in reaction to tangible and intangible events and conditions. This type of risk is caused by external factors independent of a security's underlying circumstances. For example, political, economic and social conditions may trigger market events.

-Business Risk: These risks are associated with a particular industry or a particular company within an industry. For example, oil-drilling companies depend on finding oil and then refining it, a lengthy process, before they can generate a profit. They carry a higher risk of profitability than an electric company, which generates its income from a steady stream of customers who buy electricity no matter what the economic environment is like.

-Liquidity Risk: Liquidity is the ability to readily convert an investment into cash. Generally, assets are more liquid if many traders are interested in a standardized product. For example, Treasury Bills are highly liquid, while real estate properties are not.

-Financial Risk: Excessive borrowing to finance a business' operations increase the risk of profitability, because the company must meet the terms of its obligations in good times and bad. During periods of financial stress, the inability to meet loan obligations may result in bankruptcy and / or a declining market value.

-Reinvestment Risk: This is the risk that future proceeds from investments may have to be reinvested at a potentially lower rate of return (i.e. interest rate). This primarily relates to fixed income securities.

-Interest-Rate Risk: Fluctuations in interest rates may cause investment prices to fluctuate. For example, when interest rates rise, yields on existing bonds become less attractive, causing their market values to decline.

-Inflation Risk: When any type of inflation is present, a dollar today will not buy as much as a dollar next year, because purchasing power is eroding at the rate of inflation.

-Currency Risk: Overseas investments are subject to fluctuations in the value of the dollar against the currency of the investment's originating country. This is also referred to as exchange rate risk.

Item 9 – Disciplinary Information

Legal and Disciplinary

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Daniel T. Cook & Partners. The firm has no such items to report.

Item 10 – Other Financial Industry Activities and Affiliations

Financial Industry Activities

At this time, Daniel T. Cook & Partners is not actively involved with any broker-dealers or insurance companies.

Affiliations

At this time, Daniel T. Cook & Partners does not have any affiliations that would be considered material to its advisory business and clients.

Daniel T. Cook & Partners investment adviser representatives may purchase or sell products that they also recommend to clients. Those representatives who conduct such activities are required to place the client's interest first. Daniel T. Cook & Partners has placed restrictions on this conduct to the extent that representatives may not purchase or sell a security where they have given clients opposing recommendations. The Firm reviews trades daily to ensure its clients orders are handled first.

Item 11 – Code of Ethics, Participation or Interest in Client Transactions, and Personal Trading

Code of Ethics

Daniel T. Cook & Partners has adopted a Code of Ethics for all supervised persons of the firm describing its high standard of business conduct, and fiduciary duty to its clients. The Code of Ethics includes provisions relating to an emphasis on always putting the client's interest first, the confidentiality of client information, a prohibition on insider trading, restrictions on the acceptance of significant gifts and the reporting of certain gifts and business entertainment items, and personal securities trading procedures, among other things. All supervised persons of Daniel T. Cook & Partners must acknowledge the terms of the Code of Ethics annually, or as amended.

We will provide a Code of Ethics to any client or prospective client upon request; free of charge.

Participation or Interest in Client Transactions

Daniel T. Cook & Partners, its employees and investment adviser representatives may buy or sell securities that are also held by clients. Supervised persons are prohibited from trading their own securities ahead of clients. Supervised persons must comply with the provisions of the company's Compliance Manual and Code of Ethics.

Personal Trading

The Chief Compliance Officer of the firm is Daniel Cook. He reviews all supervised persons trades on a quarterly basis. The personal trading reviews ensure that personal trading of supervised persons does not affect the markets, and that clients of the firm receive special treatment.

Item 12 – Brokerage Practices

Selection of Brokers / Custodians

Daniel T. Cook & Partners does not maintain custody of your assets that we manage, although we may be deemed to have custody if you give us authority to withdraw management fees from your account(s). We recommend that our clients use Scottrade Inc., a member of FINRA and SIPC. Scottrade will hold client assets in a brokerage account and buy and sell securities when we give them instructions to do so.

While we recommend that you use Scottrade as custodian / broker, you will decide whether to do so and open an account with them by completing their account agreement. Through Daniel T. Cook & Partners we will open the account with Scottrade on your behalf.

How we select Brokers / Custodians

Daniel T. Cook & Partners recommends custodians based on factors such as integrity, reputation and financial responsibility, breadth of investment products, execution and custody services, trade execution, quality of services and competitiveness of pricing of such services including transaction costs.

Where Scottrade does not offer a particular product or service Daniel T. Cook & Partners may recommend use of another custodian, subject to a review of the factors noted above.

Brokerage and Custody Costs

For our clients' accounts maintained at Scottrade, clients are charged for custody services and transaction costs which are separate and distinct from the advisory fee charged. Daniel T. Cook & Partners does not share directly in these custodial or transaction charges.

Best Execution

Daniel T. Cook & Partners reviews the execution of trades placed with Scottrade on a regular basis. We do not receive any portion of the trading fees assessed by the custodian.

Soft Dollars

Daniel T. Cook & Partners does not have any arrangements or commitments to use research, research-related products and other services obtained from broker-dealers and / or custodians on a soft dollar basis.

Order Aggregation

No client or account will be favored over another. At this time we are not aggregating any client orders.

Item 13 – Review of Accounts

Periodic Reviews

Regular account reviews are performed on a quarterly basis by the advisor representative handling the client account. Random accounts are chosen each quarter for an audit review to ensure that accounts are being managed consistent with the clients stated objectives. Account reviews are performed more frequently when market conditions dictate.

Review Triggers

Other conditions that may trigger a review include changes in tax laws, new investment information, and changes in a client's own situation.

Regular Reports

Clients receive statements from the custodian holding their assets on at least a quarterly basis. They may also receive periodic written communications from their advisor representative.

Item 14 – Client Referrals and Other Compensation

Incoming Referrals

Daniel T. Cook & Partners and its investment adviser representatives have been fortunate to receive many client referrals. The firm does not compensate the referring party for these referrals.

Referrals Out

Daniel T. Cook & Partners does not accept referral fees or any other form of payment from other professionals when a prospect or client is referred to them.

Other Compensation

Not Applicable

Item 15 – Custody

Account Statements

All client assets are held at a qualified custodian. This means the custodian provides account statements directly to clients at their address of record at least quarterly. The custodian will also provide clients with confirmations of trading activity and annual tax forms. Clients are advised to carefully review this information and notify Daniel T. Cook & Partners of any issues or concerns.

Item 16 – Investment Decision

Discretionary Trading Authority

Daniel T. Cook & Partners usually receives discretionary authority at the onset of an advisory relationship to manage securities accounts on behalf of clients. This discretionary authority allows us to determine, without obtaining specific client consent, the securities to be bought or sold as well as the amount of securities to be bought or sold. In all cases, however, such discretion is to be exercised in a manner consistent with the stated investment objectives for the particular client account(s). In some cases clients prefer that their adviser consult with them prior to each trade being placed. Clients may also impose restrictions prohibiting investment in certain companies or industries. Any investment restrictions must be provided to Daniel T. Cook & Partners in writing.

The client approves the custodian to be used and the compensation rates paid. If approved by the client, discretionary trading authority facilitates placing trades in your account so that we may promptly implement the investment strategy that was discussed.

Limited Power of Attorney

A limited power of attorney (LPOA) is a trading authorization you provide us so that we can place discretionary trades on your behalf as stated above. The LPOA requires your signature or initials on the investment management agreement and / or custodian-supplied form. You may revoke the LPOA at any time by notifying us in writing.

Item 17 – Voting Client Securities

Proxy Votes

As a matter of firm policy and practice, Daniel T. Cook & Partners does not have any authority to and does not vote proxies on behalf of advisory clients. Clients retain the responsibility for receiving and voting proxies for any and all securities maintained in client portfolios. On an occasional basis Daniel T. Cook & Partners may provide advice to clients regarding the clients' voting of proxies.

Item 18 – Financial Information

Financial Condition

Daniel T. Cook & Partners has no financial commitment that impairs its ability to meet contractual and fiduciary commitments to clients.

A balance sheet is not required to be provided because Daniel T. Cook & Partners does not serve as a custodian for client funds or securities, and does not require prepayment of fees of more than \$1,200 per client, and six months or more in advance.

Item 19 – Business Continuity Plan

General

The firm has an evolving Business Continuity Plan that provides steps to mitigate and recover from the loss of office space, communications, services, or key people.

Disasters

The Business Continuity Plan covers natural disasters such as hurricanes, tornados, and flooding. The Plan covers man-made disasters such as loss of electrical power, fire, bomb threat, nuclear emergency, chemical event, and internet outage. Electronic files are backed up and archived offsite.

Alternate Offices and Contact Info

It is our intention to contact all clients within five days of a disaster that dictates moving our office to a different location. At this time we do not have any offices other than our main office. Alternative phone number is 561-596-5067

Loss of Key Personnel

At this time the company is dependent on the services of Daniel Cook. Losing this key person for any significant length of time could negatively affect operations.

Item 20 – Information Security Program

Information Security

Daniel T. Cook & Partners maintains an information security program to reduce the risk that your personal and confidential information may be breached.

Privacy Notice

We are committed to safeguarding the confidential information of our clients. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the Investment Advisory services provided by our firm. We will not share personal information about our clients with other companies unless we need to share the information in order to provide a product or service a client has requested. Unless we tell clients otherwise, these other companies do not have the right to use clients' personal information obtained from our firm in any manner beyond what is required to provide a client's requested product or service.

Our firm limits the collection of personal information to what is necessary to administer our business and to deliver our products and services to our clients. We do reserve the right to disclose or report personal information in limited circumstances where we believe in good faith that disclosure is required under law, to cooperate with regulators or law enforcement authorities, to perform necessary credit checks to collect or report debts owed to us, to protect our rights or

property, or upon reasonable request by the sponsor or provider of a product in which you have chosen to invest. Listed below is the information we do collect and how we use that information:

Forms you fill out to interact with our company such as:

- New account forms
- Agreements and contracts
- Questionnaires and miscellaneous forms

These forms may request personal information such as your mailing address, telephone number, social security number, employment information, investment objectives, e-mail address, financial situation and account numbers.

How we use this information

We use your personal information for the purpose of conducting business with you. At no time do we sell your information or share it unless it is necessary to conduct business with you. On occasion, our clients' professional providers such as accountants, attorneys, etc. may request non-public information about them. We will upon request and with client authorization supply providers with such information.

Protection of Client Information

Daniel T. Cook & Partners policies require that employees with access to confidential client information may not use or disclose the information except for business use. Access to client information is available to employees on a "need-to-know" basis only and is restricted to certain employees, representatives and agents with a business reason for access to such information. Any employees would be required to sign a Confidentiality Agreement annually. We safeguard information according to established security standards and procedures and train our employees and representatives to understand and comply with these protections.

Personally identifiable information about you will be maintained while you are a client, and for the required period thereafter that records are required to be maintained by federal and state securities laws. After such time, information may be destroyed.

Changes to Firm Privacy Policies

We will notify you in advance if our privacy policy is expected to change. All new clients will receive the firm's most current privacy policy upon entering into an agreement or opening an account with the firm. All clients will be provided with the firm's most current privacy policy at least annually.

Item 21 – Brochure Supplements

Daniel T. Cook & Partners provides advisory services through at least one investment professional. The investment adviser representative you choose to work with will provide you with their brochure supplement which will disclose, among other things, their educational and business background, any professional certifications they have attained, disciplinary information, other business activities, and their supervisor's information. These supplements must be provided to new and prospective clients; they are also available upon request.

Item 22 – Daniel Cook's Supplemental Brochure

Educational Background and Experience

Date of Birth / Year Born:

1986

Education:

B.A. Finance, University of Central Florida

Business Background:

Before founding his own firm, Mr. Cook gained professional experience at the following companies:

General Securities Principal / Financial Advisor, Ridgeway Conger Inc 9/2010 – 02/2012

Registered Representative, Newbridge Securities 8/2009 – 9/2010

Registered Representative, Pointe Capital Inc. 11/2008 – 7/2009

Stockbroker Trainee, Empire Financial 6/2008 – 11/2008

Disciplinary Information

No disciplinary events to disclose.

Other Business Activities

No outside business activities to disclose.

Additional Compensation

Mr. Cook does not receive any bonus that is based, all or in part, on the number or amount of sales, client referrals, or new accounts.

Supervision

At this time Mr. Cook supervises himself. As principal and founder of the company, Daniel places a high value on creating a culture of compliance within Daniel T. Cook & Partners.

Please call Daniel anytime with questions or concerns regarding the contents in this Brochure. He may be reached at 407-772-1135 or danielcook@aol.com